PART B - FEE(S) TRANSMITTAL Mail Stop ISSUE FEE Complete and send this form, together what applicable fee(s), to: Maif Commissioner for Patents AUG 2 4 2004 P.O. Box 1450 Alexandria, Virginia 22313-1450 (703) 746-4000 or Fax INSTRUCTIONS: This form should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) 7590 05/27/2004 Bo-In Lin Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below. 13445 Mandoli Drive Los Altos Hills, CA 94022 08/26/2004 EAREBAY2 00000040 10780964 (Depositor's name) Ching-lu Lin 665.00 OP 01 FC:2501 (Signature 300.00 OP 02 FC:1504 (Date) CONFIRMATION NO. APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. IOCC030 10/780,964 02/18/2004 1686 Peiching Ling TITLE OF INVENTION: GRATING-BASED WAVELENGTH SELECTIVE SWITCH **PUBLICATION FEE** DATE DUE APPLN. TYPE SMALL ENTITY ISSUE FEE TOTAL FEE(S) DUE 08/27/2004 🥇 nonprovisional YES \$300 \$965 \$665 **EXAMINER** ART UNIT **CLASS-SUBCLASS** 2874 385-037000 HEALY, BRIAN 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the Bo-In Lin names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Integrated Optics Communications Corps Sunnyvale, California Please check the appropriate assignee category or categories (will not be printed on the patent); individual Corporation or other private group entity government 4b. Payment of Fee(s): 4a. The following fee(s) are enclosed: Issue Fee A check in the amount of the fee(s) is enclosed. Publication Fee ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this form). ☐ Advance Order - # of Copies _ Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) (Date) NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMIT THIS FORM WITH FEE(S)

IRIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Peiching Ling, et al.

:Date: August 24, 2004

Serial No.:

10/780,964

:Group No.: 2874

Filed:

February 18, 2004

:Examiner: Healy, Brian

Attorney Docket No.: IOCC030

Title: GRATING-BASED WAVELENGTH SELECTIVE SWITCH

To the Commissioner for Patents:

PAYMENT OF ISSUE FEE

In response to the examiner's Notice of Allowance and Fees Due mailed on May 27, 2004, a payment of \$965.00, which includes \$665.00 for the issuance fee and \$300.00 for the publication fee, is enclosed. If there is any **deficiency in fee**, you are authorized to charge it to the deposit account #12-0005.

Respectfully submitted, Peiching Ling, et al.

Bo-In Lin, Attorney, Registration No. 33,948

13445 Mandoli Drive, Los Altos Hills, CA 94022

(650)949-0418(phone), (650)949-4118(fax)

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Office Response Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on **August 24**, **2004** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>ED128677678US</u>" addressed to: Commissioner for Patents, P.O.Box 1450, Arlington, VA 22313-1450.

Ching-lu Lin

(Type or print name of person mailing papers)

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon to mailing. 37 CFR 1.10(b).